

PTO/SB/21 (10-07)
Approved for use through 10/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it displays a valid OMR control number.

## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

pond to a collection of information unless it displays a valid OMB control number				
Application Number	10/822,747-Conf. #4591			
Filing Date	April 13, 2004			
First Named Inventor	Robert G SANDERS			
Art Unit	1723			
Examiner Name	B. M. Kurtz			
Attorney Docket Number	4021-0126PUS2			

ENCLOSURES (Check all that apply)				
Fee Transmittal Form	Drawing(s)		After Allowance Communication to TC	
Fee Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final	Petition to Convert to a Provisional Application		Proprietary Information	
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence		Status Letter	
Extension of Time Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):	
Express Abandonment Request	Request for Refund		Return Receipt Postcard Reply Brief	
Information Disclosure Statement	CD, Number of CD(s)			
Certified Copy of Priority Document(s)	Landscape Table on CD			
Reply to Missing Parts/ Incomplete Application	Remarks	Remarks		
Reply to Missing Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm Name BIRCH, STEWART, KOLASCH & BIRCH, LLP				
Signature				
Printed name Paul C. Lewis				
October 22, 2007		Reg. No.	43,368	

PCL/FSW/cl



Docket No.: 4021-0126PUS2

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

In re Patent Application of: Robert G SANDERS

Application No.: 10/822,747

Filed: April 13, 2004

For: ATMOSPHERIC PLASMA TREATMENT OF

MELTBLOWN FIBERS USED IN

**FILTRATION** 

Confirmation No.: 4591

Art Unit: 1723

Examiner: B. M. Kurtz

Primary Examiner: Krishnan S. Menon

Supervisory Patent Examiner: David R. Sample

Conferee: Romulo Delmendo

## **REPLY BRIEF**

MS Appeal Brief - Patents Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a Reply Brief in response to the Examiner's Answer of August 22, 2007.

Reply Brief dated October 22, 2007

Reply to Examiner's Answer dated August 22, 2007

Docket No.: 4021-0126PUS2 Art Unit 1723

Page 2 of 6

<u>REMARKS</u>

It is specifically noted that this Reply Brief is responsive only to new assertions made by

the Examiner and the Appellant's full position in the appeal is set forth in Appellant's Brief on

Appeal, dated August 22, 2007.

It will be noted from appealed claim 1 (see Appendix A of the Appeal Brief) that the

improvement stated in Jepson form claim 1 is wherein the gaseous plasma consists essentially of

air and at least one gas selected from the group consisting of He, Ar, Ne, N2, Kr and

combinations thereof. The Examiner has now, apparently, realized that *Jepson* form claim 1, by

the language "consists essentially of" and "consisting of" does not permit the gaseous plasma to

include other gases which would materially affect the claimed method. In an effort to respond to

that language, as has been previously raised in the Appeal Brief, the Examiner now alleges, at

page 3 of the Examiner's Answer, that the Roth patent (USP 5,403,453) teaches a method where

the gaseous plasma "consists essentially of air and helium or argon" and cites the Abstract,

column 2, lines 34-41 and col. 4, lines 13-17. It is clear that the Abstract does not set forth such

restriction, since the Abstract states, "or modified gas atmospheres comprising helium or argon

(emphasis added), contrary to the Examiner's assertion. Column 2, lines 34-41 merely goes to

specific details of the invention which relates to a glow discharge plasma process, and

otherwise is not relevant to any restriction of claim scope.

Column 4, lines 13-17 refers to "appropriate gas such as air, helium or argon mixtures

of helium or argon with oxygen or air or a mixture of argon and helium." This certainly does not

close that process to those specific gases but shows those specific gases simply as examples.

Therefore, contrary to the Examiner's assertions, Roth does not teach that the gaseous

plasma of that patent should be limited to certain gases, only.

In that same paragraph of the Examiner's Answer, the Examiner states that Jones et al

(USP 6,953,544) teach a method of electrostatically charging thermoplastic fibers and cites

Reply Brief dated October 22, 2007

Reply to Examiner's Answer dated August 22, 2007

Docket No.: 4021-0126PUS2

Art Unit 1723

Page 3 of 6

column 1, lines 17-20. Actually, in that cited portion, Jones et al. do not teach any process

whatsoever for electrostatically charging thermoplastic fibers.

In regard to the Examiner's statement in connection with claim 3, the cited portion of

Jones et al. (column 5, lines 16-28) in fact, teaches a wide number of processes for applying an

electrical discharge during a fluorination process, as brought out in detail in the Appeal Brief.

While an AC corona discharge plasma is among those recited in that portion of Jones et al.,

claim 3 goes to the use of a corona discharge to provide the electrostatically charged

thermoplastic fibers. While that portion of Jones et al. is directed to an AC Corona discharge to

produce a plasma, it is not clear whether the Examiner equates a plasma with a corona discharge

or not, but clearly the two are quite different. In this specific case of Jones et al., the reference is

merely teaching that plasma can be produced, among many other ways, by a corona discharge.

In fact however, corona discharge plasma as recited in Jones et al. is quite different from the

glow plasma required by Roth.

The Examiner misstates the Appellants invention at page 5, last sentence of the paragraph

bridging pages 4 and 5. The Examiner states:

"In other words the Appellant's invention is a combination of gaseous plasma

treatment and corona discharge treatment."

From claim 1, it can be seen that the **claimed invention** is a treatment in a gaseous

plasma at atmospheric pressure with the specific gases nominated in that claim and wherein the

thermoplastic fibers are electrostatically charged. Those fibers may be electrostatically charged

before treatment in the plasma or after treatment in the plasma, but it is not the combination

thereof that is Appellant's broadest form of the invention. The broadest form of the invention is

the treatment in the defined gaseous plasma at atmospheric pressure.

In the paragraph bridging pages 5 and 6 of the Examiner's Answer, the Examiner argues

that it would be obvious to use the gaseous plasma treatment as taught by Roth followed by the

Reply Brief dated October 22, 2007

Reply to Examiner's Answer dated August 22, 2007

Docket No.: 4021-0126PUS2 Art Unit 1723

Page **4 of 6** 

corona discharge method as taught by Jones et al., or vice versa. In combining these two references, the Examiner fails to recognize that the Jones et al. patent is specific to the use of **fluorinated** polymeric fibers that has at least about 25% atomic fluorine. This statement of the Examiner in the paragraph bridging pages 4 and 5 of the Answer does not elucidate how the Examiner would propose that one of ordinary skill in the art combine a reference dealing with glow discharge plasmas for treating fibers (see column 2, lines 6 - 29), with fluorinating a polymeric article as taught by Jones et al. (see the summary of the invention). The Examiner has offered no rational that one skilled in the art could follow to arrive at the conclusion which the Examiner now adopts.

In the first full paragraph at page 6 of the Examiner's Answer, the Examiner points to the statements in the Appeal Brief that the present invention does not produce any active species. The Examiner states that by definition "plasma contains active species: ions, electrons, etc." In one sense the Examiner is correct in this regard, since in the first paragraph at page 9 of the Appeal Brief, the first sentence should have, more accurately, stated that the present invention is not one that generates any active species, in the sense of the active species taught by Roth. The Examiner is correct in that plasma is an ionized gas that conducts electricity and ranges from welding torches to fluorescent lights. A fluorescent light bulb, for instance, contains a low pressure glow discharge whereas a torch forms an arch between the electrodes resulting in complete ionization of the gas and at temperatures in excess of 4000°C. If one therefore construes the term "active species" broadly, the Examiner is correct. But Roth is not concerned with plasmas in general, but in specific plasmas. These specific plasmas are outlined in the invention summary of that patent and, among others, that summary teaches establishing an electric field between metallic plate electrodes to break down the gases used by specifically choosing the RF frequency in the right range as discussed therein, to produce the glow discharge plasma where nitrous oxide (note the gases of present claim 1), helium or argon and air are recommended when processing polymer film to produce the web with desired surface characteristics and wetability.

Reply Brief dated October 22, 2007

Reply to Examiner's Answer dated August 22, 2007

Docket No.: 4021-0126PUS2

Art Unit 1723

Page **5 of 6** 

In connection with the description of the **prior art**, Roth state at column 1, lines 45-65

that the prior art has used glow discharge plasmas that generate active species which may include

photons, metastables, individual atoms, free radicals, molecular fragments, monomers, electrons

and ions. These are, indeed, quite active species particularly such as individual atoms,

molecular fragments, monomers, and the like. The point is that, while very broadly stated,

active species must be in the plasma of the present invention, since any plasma that does not

contain ions would not carry an electrical charge. The active species intended to be generated by

Roth are not at all species that may be in the present process, if indeed, there are any active

species, other than required ions to produce a plasma.

The Appellant's, in fact, do not know what might be in the plasma of the invention, but

the specification at paragraph [0023] - [0027] speculates on possibilities that lead to the present

improvement. It is clear that the present plasma does not anticipate the use of any "active

species" of the nature described by Roth.

Finally, it is believed to be useful in this Appeal, that terminology be clear. In this

regard, the common dictionary definition for a "corona discharge" is an electrical discharge

characterized by a corona and occurring when one of two electrodes in a gas has a shape causing

the electrical field at its surface to be significantly greater than between the electrodes. A

coronal discharge, among others, may be used to produce plasma. But plasma, itself, is simply

ionized gas (by whatever method) that conducts electricity.

**CONCLUSION** 

It is believed that the rejections of record should be reversed by the Board of Patent

Appeals and Interferences for the reasons stated in the Appellants Brief. The Examiner's

Answer does not set forth any substantial reason for negating the reasons for reversal set forth in

the Appellant's Brief, as demonstrated by this Reply Brief. Accordingly, it is believed that the

Application No.: 10/822,747 Reply Brief dated October 22, 2007 Reply to Examiner's Answer dated August 22, 2007 Docket No.: 4021-0126PUS2 Art Unit 1723 Page **6 of 6** 

Board of Patent Appeals and Interferences and should reverse the Examiner's decision in each and every regard.

Dated: October 22, 2007

Respectfully submitted,

Paul C. Lewis

Registration No.: 43,368

BIRCH, STEWART, KOLASCH & BIRCH, LLP

8110 Gatehouse Road

Suite 100 East P.O. Box 747

Falls Church, Virginia 22040-0747

(703) 205-8000

Attorney for Applicant